UNITED STATES DISTRICT COURT SOUTHERN DISTRICT OF NEW YORK

HAZEL JANE CLARK and BRIAN CLARK,

Plaintiffs,

v.

NOVARTIS PHARMACEUTICALS CORPORATION,

Defendant.

Civil Action No.: 1:07-ev-09669

ANSWER AND DEFENSES OF DEFENDANT NOVARTIS PHARMACEUTICALS CORPORATION TO PLAINTIFFS' COMPLAINT

JURY DEMAND

Defendant Novartis Pharmaceuticals Corporation ("NPC"), by and through its counsel, responds to plaintiffs' Complaint and Jury Demand ("the Complaint") as follows:

- 1. The allegations in Paragraph 1 of the Complaint constitute legal conclusions to which no response is required. To the extent that a response is required, NPC lacks sufficient knowledge or information to form a belief as to the truth of the allegations in Paragraph 1 of the Complaint and therefore denies the same. NPC specifically denies that any injuries alleged in the Complaint were caused by Aredia[®].
- 2. NPC lacks sufficient knowledge or information to form a belief as to the truth of the allegations in Paragraph 2 of the Complaint and therefore denies the same.
- 3. NPC lacks sufficient knowledge or information to determine what time periods plaintiffs assert are "at all times herein mentioned" and therefore NPC is unable to respond to the allegations in Paragraph 3 of the Complaint. To the extent that a response is required, NPC admits that it is a corporation incorporated under the laws of the state of Delaware with its principal offices located in East Hanover, New Jersey.
- 4. NPC lacks sufficient knowledge or information to determine what time periods plaintiffs assert are "at all times herein mentioned" and therefore NPC is unable to respond to the

allegations in Paragraph 4 of the Complaint. To the extent that a response is required, NPC admits that it does business in the States of New York and California. NPC denies any remaining allegations in Paragraph 4 of the Complaint.

- 5. The allegations in Paragraph 5 of the Complaint constitute legal conclusions to which no response is required. To the extent that a response is required, NPC lacks sufficient knowledge or information to form a belief as to the truth of the allegations in Paragraph 5 of the Complaint and therefore denies the same.
- 6. NPC lacks sufficient knowledge or information to determine what time periods plaintiffs assert in Paragraph 6 of the Complaint and therefore is unable to respond to the allegations asserted therein. To the extent that a response is required, NPC admits that it labels, distributes, and sells Aredia® and in the past did test and market Aredia®. NPC denies the remaining allegations in Paragraph 6 of the Complaint.
- 7. In response to the allegations in Paragraph 7 of the Complaint, NPC admits that Aredia[®] is a brand name under which NPC sells pamidronate disodium. NPC admits that Aredia[®] is a bisphosphonic acid. NPC admits that Aredia[®] is given intravenously to cancer patients. NPC denies the remaining allegations in Paragraph 7 of the Complaint.
- 8. In response to Paragraph 8 of the Complaint, NPC admits that Aredia[®] is approved by the United States Food and Drug Administration ("FDA") for the indications listed on the product's label. NPC denies the allegations in Paragraph 8 of the Complaint to the extent that they mischaracterize or misstate information contained on the label for Aredia[®]. NPC denies any remaining allegations in Paragraph 8 of the Complaint.
- 9. NPC lacks sufficient knowledge or information to determine the meaning plaintiffs ascribe to the phrase "product literature" and what time periods plaintiffs assert in

Paragraph 9 of the Complaint. Therefore, NPC is unable to respond to the allegations contained therein. To the extent that a response is required, NPC denies the allegations in Paragraph 9 of the Complaint.

- 10. NPC admits that it received reports in 2002 that some patients treated with Aredia[®] and/or Zometa[®] presented with osteonecrosis of the jaw. NPC denies the remaining allegations in Paragraph 10 of the Complaint.
- In response to Paragraph 11 of the Complaint, NPC admits that Aredia[®] and Zometa[®] are bisphosphonic acids. NPC also admits that in 2004, Dr. Ruggiero and others published an article entitled "Osteonecrosis of the Jaws Associated with the Use of Bisphosphonates: A Review of 63 cases," in the <u>Journal of Oral and Maxillofacial Surgery</u>. NPC admits that the quoted language appears in this article, but avers that the article speaks for itself. NPC denies the allegations in Paragraph 11 of the Complaint to the extent that they mischaracterize or misstate information contained in said article. NPC denies any remaining allegations in Paragraph 11 of the Complaint.
- 12. The allegations in Paragraph 12 of the Complaint constitute legal conclusions to which no response is required. To the extent that a response is required, NPC admits that it sent correspondence to medical professionals in September 2004 and May 2005 addressing the subject of osteonecrosis of the jaw. NPC denies the remaining allegations in Paragraph 12 of the Complaint.
- 13. NPC lacks sufficient knowledge or information to form a belief as to the truth of the allegations contained in Paragraph 13 of the Complaint and therefore denies the same.
- 14. The allegations in Paragraph 14 of the Complaint constitute legal conclusions to which no response is required. To the extent that a response is required, NPC lacks sufficient

knowledge or information to determine whether plaintiff was given and/or injected with Aredia[®] and whether plaintiff has osteonecrosis of the jaw and therefore denies the same. NPC denies any remaining allegations in Paragraph 14 of the Complaint. NPC specifically denies that any injuries alleged in the Complaint were caused by Aredia[®].

- 15. NPC lacks sufficient knowledge or information to determine whether plaintiff was given and/or injected with Aredia[®] and whether plaintiff was injured and therefore NPC is unable to respond to the allegations in Paragraph 15 of the Complaint and denies the same. The remaining allegations in Paragraph 15 of the Complaint and its subparts (a) through (g) constitute legal conclusions to which no response is required. To the extent that a response is required, NPC denies the allegations in Paragraph 15 of the Complaint. NPC specifically denies that any injuries alleged in the Complaint were caused by Aredia[®]. NPC also specifically denies that plaintiffs are entitled to any of the relief sought in Paragraph 15 of the Complaint and its subparts (a) through (g).
- 16. In response to Paragraph 16 of the Complaint, NPC incorporates by reference its responses to the allegations in Paragraphs 1-15 of the Complaint.
- 17. NPC lacks sufficient knowledge or information to determine what time periods plaintiffs assert in Paragraph 17 of the Complaint and therefore is unable to respond to the allegations asserted therein. To the extent that a response is required, NPC admits that it labels, distributes, and sells Aredia[®] and in the past did test and market Aredia[®]. NPC denies the remaining allegations in Paragraph 17 of the Complaint.
- 18. The allegations in Paragraph 18 of the Complaint constitute legal conclusions to which no response is required. To the extent that a response is required, NPC denies the

allegations in Paragraph 18 of the Complaint. NPC specifically denies that Aredia[®] is "defective" and/or "unreasonably dangerous."

- 19. The allegations in Paragraph 19 of the Complaint constitute legal conclusions to which no response is required. To the extent that a response is required, NPC denies the allegations in Paragraph 19 of the Complaint. NPC specifically denies that Aredia[®] is "defective."
- 20. The allegations in Paragraph 20 of the Complaint constitute legal conclusions to which no response is required. To the extent that a response is required, NPC denies the allegations in Paragraph 20 of the Complaint. NPC specifically denies that Aredia[®] is "defective."
- 21. The allegations in Paragraph 21 of the Complaint constitute legal conclusions to which no response is required. To the extent that a response is required, NPC denies the allegations in Paragraph 21 of the Complaint. NPC specifically denies that Aredia[®] is "defective."
- 22. NPC lacks sufficient knowledge or information to determine whether plaintiff was injured and therefore denies the same. The remaining allegations in Paragraph 22 of the Complaint constitute legal conclusions to which no response is required. To the extent that a response is required, NPC denies the allegations in Paragraph 22 of the Complaint. NPC specifically denies that any injuries alleged in the Complaint were caused by Aredia[®].
- 23. In response to Paragraph 23 of the Complaint, NPC incorporates by reference its responses to the allegations in Paragraphs 1-15 of the Complaint.
- 24. NPC lacks sufficient knowledge or information to determine what time periods plaintiffs assert in Paragraph 24 of the Complaint and therefore NPC is unable to respond to the

allegations contained therein. To the extent that a response is required, NPC admits that it labels, distributes, and sells Aredia[®] and in the past did test and market Aredia[®]. NPC denies the remaining allegations in Paragraph 24 of the Complaint.

- 25. The allegations in Paragraph 25 of the Complaint constitute legal conclusions to which no response is required. To the extent that a response is required, NPC denies the allegations in Paragraph 25 of the Complaint.
- 26. The allegations in Paragraph 26 of the Complaint constitute legal conclusions to which no response is required. To the extent that a response is required, NPC denies the allegations in Paragraph 26 of the Complaint.
- 27. NPC lacks sufficient knowledge or information to determine whether plaintiff was injured and therefore denies the same. The remaining allegations in Paragraph 27 of the Complaint constitute legal conclusions to which no response is required. To the extent that a response is required, NPC denies the allegations in Paragraph 27 of the Complaint. NPC specifically denies that any injuries alleged in the Complaint were caused by Aredia[®].
- 28. In response to Paragraph 28 of the Complaint, NPC incorporates by reference its responses to the allegations in Paragraphs 1-15 of the Complaint.
- 29. NPC lacks sufficient knowledge or information to determine what time periods plaintiffs assert in Paragraph 29 of the Complaint and therefore NPC is unable to respond to the allegations contained therein. To the extent that a response is required, NPC admits that it labels, distributes, and sells Aredia® and in the past did test and market Aredia®. NPC denies the remaining allegations in Paragraph 29 of the Complaint.
- 30. The allegations in Paragraph 30 of the Complaint constitute legal conclusions to which no response is required. To the extent that a response is required, NPC admits that

applicable law imposes certain duties upon manufacturers and distributors of products, but denies that Paragraph 30 of the Complaint accurately sets forth those duties. NPC denies the remaining allegations in Paragraph 30 of the Complaint.

- 31. The allegations in Paragraph 31 of the Complaint constitute legal conclusions to which no response is required. To the extent that a response is required, NPC denies the allegations in Paragraph 31 of the Complaint.
- 32. The allegations in Paragraph 32 of the Complaint constitute legal conclusions to which no response is required. To the extent that a response is required, NPC denies the allegations in Paragraph 32 of the Complaint.
- 33. NPC lacks sufficient knowledge or information to determine whether plaintiff was injured and therefore denies the same. The remaining allegations in Paragraph 33 of the Complaint constitute legal conclusions to which no response is required. To the extent that a response is required, NPC denies the allegations in Paragraph 33 of the Complaint. NPC specifically denies that any injuries alleged in the Complaint were caused by Aredia[®].
- 34. In response to Paragraph 34 of the Complaint, NPC incorporates by reference its responses to the allegations in Paragraphs 1-15 of the Complaint.
- 35. The allegations in Paragraph 35 of the Complaint constitute legal conclusions to which no response is required. To the extent that a response is required, NPC denies the allegations in Paragraph 35 of the Complaint.
- 36. The allegations in Paragraph 36 of the Complaint constitute legal conclusions to which no response is required. To the extent that a response is required, NPC lacks sufficient knowledge or information to form a belief as to the truth of the allegations in Paragraph 36 of the Complaint and therefore denies the same.

- 37. The allegations in Paragraph 37 of the Complaint constitute legal conclusions to which no response is required. To the extent that a response is required, NPC denies the allegations in Paragraph 37 of the Complaint. NPC specifically denies that any injuries alleged in the Complaint were caused by Aredia[®].
- 38. NPC lacks sufficient knowledge or information to determine whether plaintiff was injured and therefore denies the same. The remaining allegations in Paragraph 38 of the Complaint constitute legal conclusions to which no response is required. To the extent that a response is required, NPC denies the allegations in Paragraph 38 of the Complaint. NPC specifically denies that any injuries alleged in the Complaint were caused by Aredia[®].
- 39. In response to Paragraph 39 of the Complaint, NPC incorporates by reference its responses to the allegations in Paragraphs 1-15 of the Complaint.
- 40. The allegations in Paragraph 40 of the Complaint constitute legal conclusions to which no response is required. To the extent that a response is required, NPC denies the allegations in Paragraph 40 of the Complaint.
- 41. The allegations in Paragraph 41 of the Complaint constitute legal conclusions to which no response is required. To the extent that a response is required, NPC lacks sufficient knowledge or information to form a belief as to the truth of the allegations in Paragraph 41 of the Complaint and therefore denies the same.
- 42. The allegations in Paragraph 42 of the Complaint constitute legal conclusions to which no response is required. To the extent that a response is required, NPC denies the allegations in Paragraph 42 of the Complaint.
- 43. NPC lacks sufficient knowledge or information to determine whether plaintiff was injured and therefore denies the same. The remaining allegations in Paragraph 43 of the

Complaint constitute legal conclusions to which no response is required. To the extent that a response is required, NPC denies the allegations in Paragraph 43 of the Complaint. NPC specifically denies that any injuries alleged in the Complaint were caused by Aredia[®].

- 44. In response to Paragraph 44 of the Complaint, NPC incorporates by reference its responses to the allegations in Paragraphs 1-15 of the Complaint.
- 45. NPC lacks sufficient knowledge or information to form a belief as to the truth of the allegations in Paragraph 45 of the Complaint and therefore denies the same.
- 46. NPC lacks sufficient knowledge or information to form a belief as to the truth of the allegations in Paragraph 46 of the Complaint and therefore denies the same. NPC specifically denies that any injuries alleged in the Complaint were caused by Aredia[®].
- 47. The allegations in Paragraph 47 of the Complaint constitute legal conclusions to which no response is required. To the extent that a response is required, NPC denies the allegations in Paragraph 47 of the Complaint. NPC specifically denies that any injuries alleged in the Complaint were caused by Aredia[®].
- 48. The allegations in Paragraph 48 of the Complaint constitute legal conclusions to which no response is required. To the extent that a response is required, NPC denies the allegations in Paragraph 48 of the Complaint. NPC specifically denies that any injuries alleged in the Complaint were caused by Aredia[®].
- 49. With respect to the allegations in the unnumbered paragraph following Paragraph 48 of the Complaint and its subparts (a) through (c), captioned "PRAYER FOR RELIEF", and beginning with the word "WHEREFORE," these allegations constitute legal conclusions to which no response is required. To the extent that a response is required, NPC denies that

plaintiffs are entitled to any of the relief sought therein, including any judgment for any damages, interest, costs or any other relief whatsoever.

Every allegation in the Complaint that is not specifically and expressly admitted 50. in this Answer is hereby specifically and expressly denied.

AFFIRMATIVE DEFENSES

- The Complaint, in whole or part, fails to state a claim or cause of action against 1. NPC upon which relief can be granted.
- Venue is inconvenient to the parties in the United States District Court for the 2. Southern District of New York and this matter should be transferred to an appropriate jurisdiction.
- The doctrines contained in Restatement (Second) of Torts § 402A, Comment K, 3. bar plaintiffs' claims against NPC in whole or in part.
- The doctrine(s) contained in Restatement (Third) of Torts, Product Liability §§ 4 4. and 6, bar plaintiffs' claims against NPC in whole or in part.
- Applicable statutes of limitations or repose bar plaintiffs' claims in whole or in 5. part. See, e.g., California Code of Civil Procedure Section 335.1.
- Plaintiffs' misuse or abnormal use of the product or failure to follow instructions 6. bar the plaintiffs' claims in whole or in part.
- The alleged injuries to plaintiffs were proximately caused by the misuse, abuse, 7. alteration, and/or failure to properly utilize, maintain, or care for the product by persons other than NPC.

- 8. If plaintiffs' used a product sold by NPC, then plaintiffs' claims are barred, in whole or in part, because plaintiffs assumed the risks disclosed by the product labeling, by the prescribing physicians, or by other persons or entities.
- 9. Any alleged negligent or culpable conduct of NPC, none being admitted, was so insubstantial as to be insufficient to be a proximate or substantial contributing cause of plaintiffs' alleged injuries.
- 10. If plaintiffs used a product sold by NPC, plaintiffs used the product for "off-label" purposes, which bars the plaintiffs' claims.
 - 11. The "learned intermediary" doctrine bars plaintiffs' claims.
- 12. Plaintiffs' claims are barred, in whole or in part, because the product at issue was designed, manufactured, marketed and labeled with proper warnings, information, cautions and instructions, in accordance with the state of the art and the state of scientific and technological knowledge.
- 13. Plaintiffs' claims are barred, in whole or in part, because the labels and information accompanying the products at issue were approved by the U.S. Food and Drug Administration or other appropriate regulatory agencies.
- 14. Plaintiffs' claims are barred, in whole or in part, by applicable products liability statutes or other law providing absolute or limited immunity or a disputable presumption of immunity against liability for pharmaceutical products approved by the FDA.
- 15. Plaintiffs' claims are barred, in whole or in part, because the product at issue was not defective or unreasonably dangerous in that it complied with, at all relevant times, all applicable government safety standards.

- 16. Plaintiffs' claims are preempted, in whole or in part, by applicable federal law relating to the design, testing, producing, manufacturing, labeling, distributing, modeling, processing, and supply of Aredia® and/or Zometa®.
- 17. Plaintiffs' claims are barred, in whole or in part, because plaintiffs' injuries, if any, were the result of conduct of plaintiffs, independent third parties, and/or events that were extraordinary under the circumstances, not foreseeable in the normal course of events, and/or independent, intervening and superseding causes of the alleged injuries, including but not limited to plaintiffs' pre-existing medical conditions.
- 18. If plaintiffs suffered injury or damages as alleged, which is denied, such injury or damage resulted from acts or omissions of persons or entities for which NPC is neither liable nor responsible or resulted from diseases and/or causes that are not related to or connected with any product sold, distributed, or manufactured by NPC. Such acts or omissions on the part of others or diseases or causes constitute an independent, intervening and sole proximate cause of plaintiffs' alleged injury or damages.
- 19. Plaintiffs' claims are barred, in whole or in part, because plaintiffs' alleged injuries, if caused by Aredia[®], which is denied, was the result of plaintiffs' own idiosyncratic reactions.
- 20. Plaintiffs failed to mitigate, which limits plaintiffs' damages, if any, in whole or in part.
- 21. Aredia[®] was fit and proper for its intended purposes and the social utility of the drug outweighed any possible risk inherent in the use of the product.
- 22. NPC has no legal relationship or privity with plaintiffs and owes no duty to plaintiffs by which liability could be attributed to it.

- The claims of plaintiffs should be diminished in whole or in part in the amount 23. paid to plaintiffs by any party or non-party with whom plaintiffs have settled or may settle.
- 24. Plaintiffs' claims are barred, reduced and/or limited pursuant to applicable statutory and common law regarding limitations of awards, caps on recovery, and setoffs.
- NPC made no warranties of any kind, express or implied, or any representations 25. of any nature whatsoever to plaintiffs. If any such warranties were made, whether express or implied, which NPC specifically denies, then plaintiffs did not rely on any such representations or warranties and/or failed to give notice of any breach thereof.
- Notwithstanding the claims and contentions of plaintiffs, plaintiffs received all or 26. substantially all of the benefit from the product that plaintiffs hoped and intended to receive, and, to that extent, any damages and/or restitution that plaintiffs might be entitled to recover from NPC must be correspondingly reduced.
- Plaintiffs' causes of action are barred in whole or in part by plaintiffs' own 27. contributory/comparative negligence.
- Plaintiffs' recovery, if any, shall be reduced by those payments that plaintiffs 28. receive from collateral sources.
- If plaintiffs have been injured or damaged, no injury or damages being admitted, 29. such injuries were not caused by an NPC product.
- To the extent that California law applies, plaintiffs' strict liability and warranty 30. claims are barred in whole or in part. See, e.g., Brown v. Superior Court, 44 Cal. 3d 1049, 1072 (1988).

31. NPC hereby gives notice that it intends to rely upon such other defenses as may become available or apparent during the course of discovery and thus reserves its right to amend this Answer to assert such defenses.

WHEREFORE, Defendant NPC demands judgment in its favor and against plaintiffs, dismissing plaintiffs' Complaint with prejudice, together with the costs of suit and such other relief as the Court deems equitable and just.

JURY DEMAND

Defendant Novartis Pharmaceuticals Corporation demands a jury trial as to all issues so triable.

Dated: November 26, 2007

Respectfully submitted,

s/ Ethan D. Stein

Ethan D. Stein (ES-7130)

Diane E. Lifton (DL-9673)

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CERTIFICATE OF SERVICE

I hereby certify that on November 26, 2007, the Answer and Defenses of Defendant Novartis Pharmaceuticals Corporation to Plaintiffs' Complaint was filed with the Clerk of the Court and served in accordance with the Southern District's Rules on Electronic Service upon the following parties and participants:

> Daniel A. Osborn, Esq. Russel H. Beatie, Esq. Philip J. Miller, Esq. Beatie and Osborn LLP 521 Fifth Avenue, 34th Floor New York, NY 10175

I further certify that on November 26, 2007, I served a true and correct copy of the Answer and Defenses of Defendant Novartis Pharmaceuticals Corporation to Plaintiffs' Complaint, by United States Mail, postage prepaid, on:

The Powell Law Firm, L.C. 269 South Beverly Drive **Suite 1156** Beverly Hills, CA 90212

The Offices of Jeffrey C. Bogert 501 Colorado Boulevard Suite 208 Santa Monica, CA 90401

s/ Ethan D. Stein Ethan D. Stein